



(Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified) [6-1]-  
page 1 of 17 )

RECEIVED

AUG 13 2002

TECH CENTER 1600 2900



(Information Disclosure Statement — Section 2. FORM PTO - 1449 (Modified) [6-1]—  
page 2 of 17 )



Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.

**96700/510**

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

APPLICANT S

Stanley M. Crain and Ke-Fei Shen

FLYING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AUG 13 2002

RECEIVED

EXAMINER

James H. Kanner

DATE CONSIDERED

10/22/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



GROUP

(Information Disclosure Statement — Section 2. FORM PTO - 1449 (Modified) [6-1]—  
page 4 of 17 )

AUG 13 2002  
TECH CENTER 1600/2900

RECEIVED

Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.

**96700/510**

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

APPLICANT S

Stanley M. Crain and Ke-Fei Shen

**FILING DATE**

Herewith

GROUP

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

7111	(20)	North, <u>Trends Neurosci.</u> , 9:114-117 (1986).
7112	(21)	Magnan, et al., <u>Nauyn-Schmiedelberg's Arch. Pharmacol.</u> , 319:197-205 (1982).
7113	(22)	Bentley and Hardy, <u>J. American Chem. Soc.</u> , 89:3281-3286 (1967).

## EXAMPLE

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

AUG 13 2004

TECH CENTER 1600 2800  
05



GROUP

(Information Disclosure Statement — Section 2. FORM PTO - 1449 (Modified) [6-1]—  
page 6 of 17 )

A circular stamp from the OIPE (Office International de la Propriété Industrielle). The text "OIPE" is at the top, "JCS 95" is on the right, and "PATENT &amp; TRADEMARK OFFICE" is at the bottom. The date "AUG 07 2002" is in the center.

Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.

**96700/510**

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

APPLICANT S

Stanley M. Crain and Ke-Fei Shen

FLING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

								TRANSLATION	
DOCUMENT NUMBER				PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

247 (26) Vaccarino, et al., Pain, 36:103-109 (1989).

(27) Cappell, et al., Pharmacology Biochemistry & Behavior, 34:425-427 (1989).

EXAMINER

DATE CONSIDERED

16/22/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Information Disclosure Statement — Section 2. FORM PTO - 1449 (Modified) [6-1]—  
page 7 of 17 )

RECEIVED  
AUG 13 2002

AUG 13 2002

TECH CENTER 1600 2500



## Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.  
96700/510

APPLICANTS  
Stanley M. Crain and Ke-Fei Shen

FLING OATE  
Herewith

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

GROUP

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

MM	(33)	Gardner, <u>Substance Abuse</u> , 2d ed., pp. 70-99 (1992).
MM	(34)	Shen and Crain, <u>Brain Res.</u> , 636:286-297 (1994).
MM	(35)	Greenstein, et al., <u>Subst. Abuse</u> , 2d ed., pp. 562-573 (1992).

**EXAMINER**

DATE CONSIDERED

10/22/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

AUG 13 2002

TECH CENTER 1600/2900



## Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.

96700/510

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

APPLICANT S

Stanley M. Crain and Ke-Fei Shen

FILED DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

9/11/88	(36)	Gonzales, et al., <u>Drugs</u> , 35:193-213 (1988).
9/11/88	(37)	Shen and Crain, <u>J. Neurosci.</u> , 14:5570-5579 (1994).
9/11/88	(38)	Blane, et al., <u>Brit. J. Pharmacol. Chemother.</u> , 30:11-22 (1967).

EXAMINEE

DATE CONSIDERED

10/22/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUG 13 2002

RECEIVED

TECH CENTER 1600/2800



RECEIVED



AUG 13 2002

RECEIVED  
AUG 1 1964



## Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

ATTY. DOCKET NO.

**96700/510**

APPLICANT S

Stanley M. Crain and Ke-Fei Shen

FLING DATE  
Herewith

GROUP	DATE	TIME	LOCATION	STATUS
1	10/10/2023	10:00	Room 101	Completed
2	10/10/2023	11:00	Room 102	Completed
3	10/10/2023	12:00	Room 103	Completed
4	10/10/2023	13:00	Room 104	Completed
5	10/10/2023	14:00	Room 105	Completed
6	10/10/2023	15:00	Room 106	Completed
7	10/10/2023	16:00	Room 107	Completed
8	10/10/2023	17:00	Room 108	Completed
9	10/10/2023	18:00	Room 109	Completed
10	10/10/2023	19:00	Room 110	Completed

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

7/1/82	(50)	Lasagna, <u>Proc. Royal Soc. Med.</u> , 58(11):978-983 (1965).
7/1/82	(51)	Taiwo, et al., <u>J. Pharm. Exp. Therapeutics</u> , 249:97-100 (1989).
7/1/82	(52)	Arts, et al., <u>Pharm. Biochemistry Behavior</u> , 46:623-629 (1993).

EXAMINER

DATE CONSIDERED

10/22/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TECH CENTER 1600/2900

AUG 13 2002

RECEIVED



Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

ATTY. DOCKET NO.

96700/510

APPLICANT S

Stanley M. Crain and Ke-Fei Shen

FILED DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

JMR	(53)	Horan, et al., <u>J. Pharm. Exp. Therapeutics</u> , 265:1446-1454 (1993).
JMR	(54)	Kayser, et al., <u>Brain Research</u> , 371:37-41 (1986).
JMR	(55)	Takemori, et al., <u>J. Pharm. Exp. Therapeutics</u> , 266:121-124 (1993).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUG 1 3 26

TECH CENTER 1600/2500



## Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

Reissue Application  
SERIAL NO.

**Not Yet Assigned**

ATTY. DOCKET NO.

**96700/510**

APPLICANT S

Stanley M. Crain and Ke-Fei Shen

FLNG DATE

Herewith

GROUP

## U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

773R	(56)	Crain, et al., <u>Brain Research</u> , 455:99-109 (1988).
773R	(57)	Buchsbaum, et al., <u>Nature</u> , 270:620-622 (1977).
773R	(58)	Levine, <u>Nature</u> , 278:740-741 (1979).

EXAMINER

DATE CONSIDERED

10/22/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified) [6-1]-  
page 15 of 17 )

**TECH CENTER 1600/2900**

AUG 13 2002

RECEIVED



Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.

**96700/510**

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

APPLICANT S

Stanley M. Crain and Ke-Fei Shen

FILED DATE

Herewith

GROUP	DATE	TIME	LOCATION	REMARKS
1	10/10/19	10:00	101	101
2	10/10/19	10:00	101	101
3	10/10/19	10:00	101	101
4	10/10/19	10:00	101	101
5	10/10/19	10:00	101	101
6	10/10/19	10:00	101	101
7	10/10/19	10:00	101	101
8	10/10/19	10:00	101	101
9	10/10/19	10:00	101	101
10	10/10/19	10:00	101	101
11	10/10/19	10:00	101	101
12	10/10/19	10:00	101	101
13	10/10/19	10:00	101	101
14	10/10/19	10:00	101	101
15	10/10/19	10:00	101	101
16	10/10/19	10:00	101	101
17	10/10/19	10:00	101	101
18	10/10/19	10:00	101	101
19	10/10/19	10:00	101	101
20	10/10/19	10:00	101	101
21	10/10/19	10:00	101	101
22	10/10/19	10:00	101	101
23	10/10/19	10:00	101	101
24	10/10/19	10:00	101	101
25	10/10/19	10:00	101	101
26	10/10/19	10:00	101	101
27	10/10/19	10:00	101	101
28	10/10/19	10:00	101	101
29	10/10/19	10:00	101	101
30	10/10/19	10:00	101	101
31	10/10/19	10:00	101	101
32	10/10/19	10:00	101	101
33	10/10/19	10:00	101	101
34	10/10/19	10:00	101	101
35	10/10/19	10:00	101	101
36	10/10/19	10:00	101	101
37	10/10/19	10:00	101	101
38	10/10/19	10:00	101	101
39	10/10/19	10:00	101	101
40	10/10/19	10:00	101	101
41	10/10/19	10:00	101	101
42	10/10/19	10:00	101	101
43	10/10/19	10:00	101	101
44	10/10/19	10:00	101	101
45	10/10/19	10:00	101	101
46	10/10/19	10:00	101	101
47	10/10/19	10:00	101	101
48	10/10/19	10:00	101	101
49	10/10/19	10:00	101	101
50	10/10/19	10:00	101	101
51	10/10/19	10:00	101	101
52	10/10/19	10:00	101	101
53	10/10/19	10:00	101	101
54	10/10/19	10:00	101	101
55	10/10/19	10:00	101	101
56	10/10/19	10:00	101	101
57	10/10/19	10:00	101	101
58	10/10/19	10:00	101	101
59	10/10/19	10:00	101	101
60	10/10/19	10:00	101	101
61	10/10/19	10:00	101	101
62	10/10/19	10:00	101	101
63	10/10/19	10:00	101	101
64	10/10/19	10:00	101	101
65	10/10/19	10:00	101	101
66	10/10/19	10:00	101	101
67	10/10/19	10:00	101	101
68	10/10/19	10:00	101	101
69	10/10/19	10:00	101	101
70	10/10/19	10:00	101	

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

9782	(59)	Gillman, et al., <u>European J. Pharmacol.</u> , 61: 175-177 (1980).
9782	(60)	Levine, <u>Brain Research</u> , 365:377-378 (1986).
9782	(61)	Budd, K., <u>Ballieres Clin. Anesthesiology</u> , 1:993-1011 (1987).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified) [6-1]-  
page 16 of 17 )

**TECH CENTER 1600/2900**

AUG 13 2002

RECEIVED





## Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.

96700/510

## Reissue Application

SERIAL NO.

**Not Yet Assigned**

APPLICANT S

**Stanley M. Crain and Ke-Fei Shen**

FLNG DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION:

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

JMM	(62)	Gillman and Lichtigfield, <u>South African Med. J.</u> , 70:650-651 (1986)

TECH CE

EXAMINEE

James H. Beamer

DATE CONSIDERED

18/22/02

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUG 13 2002

RECEIVED

TECH CENTER 1600/2900